

Electronic Acknowledgement Receipt

EFS ID:	1446491
Application Number:	09911772
International Application Number:	
Confirmation Number:	3927
Title of Invention:	Securities market and market maker activity tracking system and method
First Named Inventor/Applicant Name:	Stephen Cutler
Correspondence Address:	M. David Galin Renner, Otto, Boisselle & Sklar, LLP Nineteenth Floor 1621 Euclid Avenue Cleveland OH 44115 US - -
Filer:	Morris David Galin
Filer Authorized By:	
Attorney Docket Number:	CUTLP0102US
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed	CUTLP0102USIDS1.pdf	678096	no	4

Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8190	no	2
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Warnings:

Information:

Total Files Size (in bytes):	686286
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.